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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

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APPLICATION NUMBE

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/963,966

×09/26/2001

Shuzo Sato

09792909-5189

CONFIRMATION NO. 1554

FORMALITIES LETTER

OC000000006961039

26263 SONNENSCHEIN NATH & ROSENTHAL P.O. BOX 061080 WACKER DRIVE STATION CHICAGO, IL 60606-1080

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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BOX MISSING PARTS IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Shuzo Sato, et al.

ATTY. DOCKET NO. 09792909-5189

SERIAL NO.

09/963,966

GROUP ART UNIT:

1762

(Reg. No. 32.919)

DATE FILED:

September 26, 2001

EXAMINER:

INVENTION:

"POLISHING METHOD AND POLISHING APPARATUS"

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts dated October 23, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on January 7 and 16, 2002.

A check in the amount of \$130.00 to cover the required fee of this submission is enclosed. Applicants also request an extension of time to January 24, 2002, for filing this response. A check in the amount of \$110.00 to cover the one-month extension fee is enclosed. The Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

David R. Metzger

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Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.Ş. First Class mail on January 22, 2002.